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Docket No. 2976-4039US1 (HUM01-02)

0380

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): T. KEITH et al.

Serial No.: 09/834,597

Group Art Unit: TBA

1152

Filed: April 13, 2001

Examiner: TBA

For: NOVEL HUMAN GENE RELATING TO RESPIRATORY DISEASES, OBESITY, AND INFLAMMATORY BOWEL DISEASE

#13

A.g.)

4/8/03

**EXPRESS MAIL CERTIFICATE**Express Mail Label No.: **EV 094 891 811 US**Date of Deposit: **March 28, 2002****RECEIVED**

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OFFICE OF PETITIONS

I hereby certify that the following attached paper(s) and/or fee

1. Information Disclosure Statement (IDS), 1 page;
2. Form PTO-1449 listing 5 references, 1 page;
3. IDS References (5 references);
4. Return Postcard;

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Jesus Remedios

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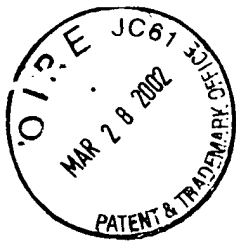
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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

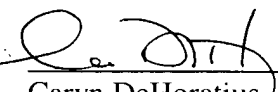
Sir:

Pursuant to Rule 56, applicant hereby calls the attention of the Patent Office to the references listed on the attached Form PTO 1449. Copy(ies) of these references ☒ are attached and ☒ were also filed in related application U.S. Serial No(s) 09/548,797, filed April 13, 2000.

- ☐ This document is being filed within three (3) months of the filing date of the application.
- ☐ Please charge the \$180 fee to Deposit Account No. 13-4500, Order No. \_\_\_\_\_.
- ☐ This document is being concurrently filed with the above-identified application.
- ☐ This document is being concurrently filed with an Request for Continued Examination (RCE).
- ☒ This document is being filed prior to a first Office Action on the merits.
- ☐ This document is accompanied by a Search Report/Communication cited in a corresponding PCT or foreign counterpart application.
- ☒ The Commissioner is hereby authorized to charge any additional fees required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500, Order No. 2976-4039US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: March 28, 2002

By:   
Caryn DeHoratius  
Registration No. 45,881

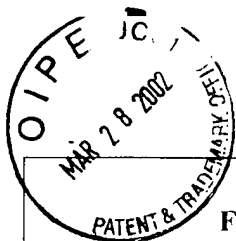
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## FORM PTO-1449

Attorney Docket:  
2976-4039US1 (HUM01-02)Serial No.:  
09/834,597Applicant:  
T. KEITH et al.Filing Date:  
April 13, 2001Group Art Unit:  
TBA

## INFORMATION DISCLOSURE CITATION

## U.S. PATENT DOCUMENTS

Examiner Initial	Patent Number	Issue Date	Name	Class	Sub-Class	Filing Date
	5,552,526	09/3/1996	Nakamura et al.	530	350	05/13/1994

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## FOREIGN PATENT DOCUMENTS

Examiner Initial	Patent Number	Issue Date	Country	Class	Sub-Class	Translation
	WO 97/09420	03/13/1997	International	C12N	9/00	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	WO 01/09293	02/8/2001	International	C12N	9/00	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No

## OTHER DOCUMENTS (Including Author, Title, Date, etc.)

	D.R. Dunbar et al., 1997, "In situ hybridization mapping of genomic clones for five human respiratory chain complex 1 genes" <i>Cytogenet. Cell Genet.</i> <b>78</b> :21-24.
	A.V. Lumbert et al., 1997, "Identification of an obesity quantitative trait locus on mouse chromosome 2 and evidence of linkage to body fat and insulin on the human homologous region 20q" <i>J. Clin. Invest.</i> <b>100</b> :1240-1247.

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Examiner

Date Considered

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609.  
Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to Applicant.

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